

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

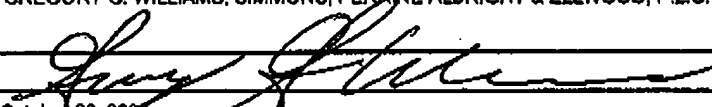
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/681,172
	Filing Date	February 8, 2001
	First Named Inventor	Kenneth A. Franken
	Art Unit	2174
	Examiner Name	S. Narayanaswamy
Total Number of Pages in This Submission	Attorney Docket Number	00F1464

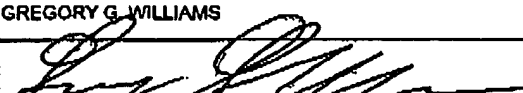
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks:	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

RECEIVED
CENTRAL FAX CENTER

OCT 28 2003

OFFICIAL

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	GREGORY G. WILLIAMS, SIMMONS, PERRINE ALBRIGHT & ELLWOOD, P.L.C.
Signature	
Date	October 28, 2003

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	GREGORY G. WILLIAMS
Signature	
Date	October 28, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Simmons, Perrine, Albright & Ellwood, P.L.C.

Attorneys and Counselors

115 Third Street SE, Suite 1200
Cedar Rapids, Iowa 52401-1266
Office Telephone: (319) 366-7641
Fax: (319) 366-1917

Third Floor Tower Place
22 South Linn Street
Iowa City, Iowa 52240
Office Telephone: (319) 887-1368
Fax: (319) 887-1372

E-Mail Address: gwilliams@simmonsperine.com
Website: www.simmonsperine.com

Darrel A. Morf
James E. Shipman
Stephen J. Holtman
Iris E. Muchmore
Gregory M. Lederer
James A. Gerk
Roger W. Stone
David A. Hacker
David W. Kubicek
Matthew J. Petruszka
Matthew J. Brandes
James M. Peters
Leonard L. Straud
Mark H. Ogden
Webb L. Wassner
Mark A. Roberts

Chad M. VanKampen
Gregory G. Williams
Nicolas Abou-Asaly
Allison M. Heffern
Lynn W. Hartman
Kathleen A. Kleiman
Paul F. Morf
Phillip A. Burton
Michael F. Williams
Christine L. Conover
Elizabeth V. Croco
Patsy A. Thimannig
David C. Kuttcher
Jason M. Steffens
Lorfe Reims-Schweert
Richard G. Billeman,
Counsel

Harvey Y. Simmons (1888-1975)
Beuhl T. Perrine (1902-1989)
William P. Ellwood (1909-1998)

REGISTERED PATENT
ATTORNEYS
Gregory G. Williams
Michael F. Williams

OF COUNSEL
James R. Snyder
Robert M. Jilek

RETIRED
Justin W. Albright
John R. Carpenter

FACSIMILE INFORMATION SHEET

DATE: October 28, 2003

TIME: _____ P.M.

RE: APPLICATION NO. 09/681,172 – Kenneth A. Franken, et al. – DN 00F1464

CONFIDENTIALITY NOTICE: The information contained in this facsimile message and the documents accompanying this facsimile message are attorney privileged and confidential information intended only for the use of the individual or entity named below. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us at the above address via the U. S. Postal Service. We will guarantee postage.

THE FOLLOWING PAGES ARE FOR
NAME OF INDIVIDUAL:

Examiner S. Narayanaswamy

NAME OF FIRM/COMPANY:

U.S.P.T.O.

FACSIMILE (FAX) NO.

703-746-7239

TRANSMITTING PARTY:

Gregory G. Williams

TOTAL NUMBER OF PAGES
(Including this Sheet):

10 PAGES

IF PROBLEMS WITH

TRANSMITTAL CONTACT:

Tracey Stober

MESSAGE: Please enter the following Amendment in the above-referenced patent application file.

RECEIVED
CENTRAL FAX CENTER
OCT 28 2003

OFFICIAL

Oct-28. 2003 5:31PM SIMMONS, PERRINE-I.C. No.4733 P. 3/11

Applicant: Kenneth A. Franken, et al.
Application No.: 09/681,172
Filed: February 8, 2001
For: INDIVIDUALIZED CONTENT GUIDE
Group Art Unit No.: 2174
Examiner: S. Narayanaswamy


PTO/SB/97 (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on 10-28-03
Date


Signature

Gregory G. Williams

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

AMENDMENT IN RESPONSE TO OFFICE ACTION DATED AUGUST 7, 2003

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT
Attorney Docket No. 00F1464

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

7/B
10-31-03
2m

Applicant: Kenneth A. Franken et al. Group Art Unit No.: 2174
Application No.: 09/681,172 Examiner: S. Narayanaswamy
Filed: February 8, 2001
For: INDIVIDUALIZED CONTENT GUIDE

October 28, 2003

AMENDMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action dated August 7, 2003, regarding the above-referenced application, please amend the application as follows:

Amendments to the Claims are reflected in the listing of the claims that begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

**RECEIVED
CENTRAL FAX CENTER**

OCT 28 2003

OFFICIAL